WEST VIRGINIA LEGISLATURE

2022 REGULAR SESSION

Engrossed

Senate Bill 213

BY SENATORS TAKUBO, BALDWIN, LINDSAY, AND PLYMALE

[Introduced January 12, 2022; referred

to the Committee on Health and Human Resources]

A BILL to amend the Code of West Virginia, 1931, as amended, by adding thereto a new article,
designated §30-31A-1, §30-31A-2, §30-31A-3, §30-31A-4, §30-31A-5, §30-31A-6, §3031A-7, §30-31A-8, §30-31A-9, §30-31A-10, §30-31A-11, §30-31A-12, §30-31A-13, §3031A-14, and §30-31A-15, all relating to establishing a licensed professional counseling
compact.

Be it enacted by the Legislature of West Virginia:

ARTICLE 31A. LICENSED PROFESSIONAL COUNSELING COMPACT.

§30-31A-1. Purpose.

- 1 The purpose of this compact is to facilitate interstate practice of licensed professional 2 counselors with the goal of improving public access to professional counseling services and the 3 practice of professional counseling occurs in the state where the client is located at the time of 4 counseling services. The compact preserves the regulatory authority of states to protect public 5 health and safety through the current system of state licensure. This compact is designed to 6 achieve the following objectives: 7 (1) Increase public access to professional counseling services by providing for the mutual 8 recognition of other member state licenses; 9 (2) Enhance the states' ability to protect the public's health and safety; 10 (3) Encourage the cooperation of member states in regulating multistate practice for 11 licensed professional counselors; 12 (4) Support spouses of relocating active-duty military personnel; 13 (5) Enhance the exchange of licensure, investigative, and disciplinary information among
- 14 <u>member states;</u>
- 15 (6) Allow for the use of telehealth technology to facilitate increased access to professional
- 16 <u>counseling services;</u>
- 17 (7) Support the uniformity of professional counseling licensure requirements throughout
- 18 the states to promote public safety and public health benefits;

19 (8) Invest all member states with the authority to hold a licensed professional counselor

20 accountable for meeting all state practice laws in the state in which the client is located at the time

21 care is rendered through the mutual recognition of member state licenses;

- 22 (9) Eliminate the necessity for licenses in multiple states; and
- 23 (10) Provide opportunities for interstate practice by licensed professional counselors who
- 24 <u>meet uniform licensure requirements.</u>

§30-31A-2. Definitions.

- 1 As used in this compact, and except as otherwise provided, the following definitions shall
- 2 <u>apply:</u>
- 3 (1) "Active-duty military" means full-time duty status in the active uniformed service of the

4 <u>United States, including members of the National Guard and Reserve on active-duty orders</u>

- 5 pursuant to 10 U.S.C. Chapter 1209 and 10 U.S.C. 1211;
- 6 (2) "Adverse action" means any administrative, civil, equitable, or criminal action permitted
- 7 by a state's laws which is imposed by a licensing board or other authority against a licensed
- 8 professional counselor, including actions against an individual's license or privilege to practice
- 9 such as revocation, suspension, probation, monitoring of the licensee, limitation on the licensee's

10 practice, or any other encumbrance on licensure affecting a licensed professional counselor's

- 11 <u>authorization to practice, including issuance of a cease and desist action;</u>
- (3) "Alternative program" means a nondisciplinary monitoring or practice remediation
 process approved by a professional counseling licensing board to address impaired practitioners;
- 14 (4) "Continuing competence or education" means a requirement, as a condition of license,
- 15 renewal, to provide evidence of participation in, or completion of, educational and professional
- 16 activities relevant to practice or area of work;
- 17 (5) "Counseling Compact Commission" or "Commission" means the national
- 18 administrative body whose membership consists of all states that have enacted the compact;
- 19 (6) "Current significant investigative information" means:

- 20 (A) Investigative information that a licensing board has reason to believe is not groundless, after a preliminary inquiry that includes notification and an opportunity for the licensed 21 22 professional counselor to respond, if required by state law; and, if proved true, would indicate 23 more than a minor infraction; or 24 (B) Investigative information that indicates the licensed professional counselor represents 25 an immediate threat to public health and safety, regardless of whether the licensed professional 26 counselor has been notified and had an opportunity to respond; 27 (7) "Data system" means a repository of information about licensees, including, but not 28 limited to, continuing education, examination, licensure, investigative, privilege to practice, and 29 adverse action information; 30 (8) "Encumbered license" means a license in which an adverse action restricts the practice 31 of licensed professional counseling by the licensee and said adverse action has been reported to 32 the National Practitioners Data Bank (NPDB); 33 (9) "Encumbrance" means a revocation or suspension of, or any limitation on, the full and 34 unrestricted practice of licensed professional counseling by a licensing board; 35 (10) "Executive committee" means a group of directors elected or appointed to act on behalf of, and within the powers granted to them by, the commission; 36 37 (11) "Home state" means the member state that is the licensee's primary state of 38 residence; 39 (12) "Impaired practitioner" means an individual who has a condition or conditions that 40 may impair his or her ability to practice as a licensed professional counselor without some type of 41 intervention and may include, but are not limited to, alcohol and drug dependence, mental health 42 impairment, and neurological or physical impairment; 43 (13) "Investigative information" means information, records, and documents received or 44 generated by a professional counseling licensing board pursuant to an investigation;
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- 45 (14) "Jurisprudence requirement" if required by the member state, means the assessment 46 of an individual's knowledge of the laws and rules governing the practice of professional 47 counseling in a state; 48 (15) "Licensed professional counselor" means a counselor licensed by a member state, 49 regardless of the title used by that state, to independently assess, diagnose, and treat behavioral 50 health conditions; 51 (16) "Licensee" means an individual who currently holds an authorization from the state to 52 practice as a licensed professional counselor; 53 (17) "Licensing board" means the agency of a state, or equivalent, that is responsible for the licensing and regulation of licensed professional counselors; 54 55 (18) "Member state" means a state that has enacted the compact; 56 (19) "Privilege to practice" means a legal authorization, which is equivalent to a license, 57 permitting the practice of professional counseling in a remote state; 58 (20) "Professional counseling" means the assessment, diagnosis, and treatment of 59 behavioral health conditions by a licensed professional counselor; 60 (21) "Remote state" means a member state other than the home state, where a licensee 61 is exercising or seeking to exercise the privilege to practice;
- 62 (22) "Rule" means a regulation promulgated by the commission that has the force of law;
- 63 (23) "Single-state license" means a licensed professional counselor license issued by a
- 64 member state that authorizes practice only within the issuing state and does not include a privilege
- 65 to practice in any other member state;
- 66 (24) "State" means any state, commonwealth, district, or territory of the United States of
- 67 <u>America that regulates the practice of professional counseling;</u>
- 68 (25) "Telehealth" means the application of telecommunication technology to deliver
- 69 professional counseling services remotely to assess, diagnose, and treat behavioral health
- 70 conditions; and

- 71 (26) "Unencumbered license" means a license that authorizes a licensed professional
- 72 <u>counselor to engage in the full and unrestricted practice of professional counseling.</u>

§30-31A-3. State participation in the compact.

- 1 (a) To participate in the compact, a state shall currently:
- 2 (1) License and regulate licensed professional counselors:
- 3 (2) Require licensees to pass a nationally recognized exam approved by the commission;
- 4 (3) Require licensees to have a 60 semester-hour (or 90 quarter-hour) master's degree in
- 5 counseling or 60 semester-hours (or 90 quarter-hours) of graduate course work including the
- 6 <u>following topic areas:</u>
- 7 (A) Professional counseling orientation and ethical practice;
- 8 (B) Social and cultural diversity;
- 9 (C) Human growth and development;
- 10 (D) Career development;
- 11 (E) Counseling and helping relationships;
- 12 (F) Group counseling and group work;
- 13 (G) Diagnosis and treatment; assessment and testing;
- 14 (H) Research and program evaluation; and
- 15 (I) Other areas as determined by the commission.
- 16 (4) Require licensees to complete a supervised postgraduate professional experience as
- 17 <u>defined by the commission;</u>
- 18 (5) Have a mechanism in place for receiving and investigating complaints about licensees.
- 19 (b) A member state shall:
- 20 (1) Participate fully in the commission's data system, including using the commission's
- 21 <u>unique identifier as defined in rules;</u>
- 22 (2) Notify the commission, in compliance with the terms of the compact and rules, of any
- 23 adverse action or the availability of investigative information regarding a licensee;

24	(3) Implement or utilize procedures for considering the criminal history records of
25	applicants for an initial privilege to practice. These procedures shall include the submission of
26	fingerprints or other biometric-based information by applicants for the purpose of obtaining an
27	applicant's criminal history record information from the Federal Bureau of Investigation and the
28	agency responsible for retaining that state's criminal records;
29	(A) A member state shall fully implement a criminal background check requirement, within
30	a timeframe established by rule, by receiving the results of the Federal Bureau of Investigation
31	record search and shall use the results in making licensure decisions.
32	(B) Communication between a member state, the commission, and among member states
33	regarding the verification of eligibility for licensure through the compact may not include any
34	information received from the Federal Bureau of Investigation relating to a federal criminal records
35	check performed by a member state under Public Law 92-544.
36	(4) Comply with rules of the commission;
37	(5) Require an applicant to obtain or retain a license in the home state and meet the home
38	state's qualifications for licensure or renewal of licensure, as well as all other applicable state
39	laws:
40	(6) Grant the privilege to practice to a licensee holding a valid unencumbered license in
41	another member state in accordance with the terms of the compact and rules; and
42	(7) Provide for the attendance of the state's commissioner to the counseling compact
43	commission meetings.
44	(c) Member states may charge a fee for granting the privilege to practice.
45	(d) Individuals not residing in a member state shall continue to be able to apply for a
46	member state's single state licensure as provided under the laws of each member state. However,
47	the single state licensure granted to these individuals may not be recognized as granting a
48	privilege to practice professional counseling in any other member state.

- 49 (e) Nothing in this compact may affect the requirements established by a member state
- 50 for the issuance of a single state license.
- 51 (f) A licensure issued to a licensed professional counselor by a home state to a resident
- 52 in that state shall be recognized by each member state as authorizing a licensed professional
- 53 <u>counselor to practice professional counseling, under a privilege to practice, in each member state.</u>

§30-31A-4. Privilege to practice.

- 1 (a) To exercise the privilege to practice under the terms and provisions of the compact.
- 2 the licensee shall:
- 3 (1) Hold a license in the home state;
- 4 (2) Have a valid United States Social Security Number or National Practitioner Identifier;
- 5 (3) Be eligible for a privilege to practice in any member state in accordance with §30-31A-
- 6 <u>4(d), §30-31A-4(g), and §30-31A-4(h) of this code;</u>
- 7 (4) Have not had any encumbrance or restriction against any license or privilege to
- 8 practice within the previous two years;
- 9 (5) Notify the commission that the licensee is seeking the privilege to practice within a
- 10 <u>remote state or states;</u>
- 11 (6) Pay any applicable fees, including any state fee, for the privilege to practice;
- 12 (7) Meet any continuing competence or education requirements established by the home
- 13 <u>state;</u>
- 14 (8) Meet any jurisprudence requirements established by the remote state or states in
- 15 which the licensee is seeking a privilege to practice; and
- 16 (9) Report to the commission adverse action, encumbrance, or restriction on license taken
- 17 by any non-member state within 30 days from the date of the action is taken.
- 18 (b) The privilege to practice is valid until the expiration date of the home state license. The
- 19 licensee must comply with the requirements of §30-31A-4(a) of this code to maintain the privilege
- 20 to practice in the remote state.

- 21 (c) A licensee providing professional counseling in a remote state under the privilege to
- 22 practice shall adhere to the laws and regulations of the remote state.
- 23 (d) A licensee providing professional counseling services in a remote state is subject to
- 24 that state's regulatory authority. A remote state may, in accordance with due process and that
- 25 state's laws, remove a licensee's privilege to practice in the remote state for a specific period of
- 26 time, impose fines, or take any other necessary actions to protect the health and safety of its
- 27 <u>citizens. The licensee may be ineligible for a privilege to practice in any member state until the</u>
- 28 specific time for removal has passed and all fines are paid.
- 29 (e) If a home state license is encumbered, the licensee shall lose the privilege to practice
- 30 in any remote state until the following occur:
- 31 (1) The home state license is no longer encumbered; and
- 32 (2) Have not had any encumbrance or restriction against any license or privilege to
- 33 practice within the previous two years.
- 34 (f) Once an encumbered license in the home state is restored to good standing, the
- 35 licensee shall meet the requirements of §30-31A-4(a) of this code to obtain a privilege to practice
- 36 <u>in any remote state.</u>
- 37 (g) If a licensee's privilege to practice in any remote state is removed, the individual may
- 38 lose the privilege to practice in all other remote states until the following occur:
- 39 (1) The specific period for which the privilege to practice was removed has ended;
- 40 (2) All fines have been paid; and
- 41 (3) Have not had any encumbrance or restriction against any license or privilege to
- 42 practice within the previous two years.
- 43 (h) Once the requirements of §30-31A-4(g) of this code have been met, the licensee shall
- 44 meet the requirements in §30-31A-4(a) of this code to obtain a privilege to practice in a remote
- 45 <u>state.</u>

	§30-31A-5. Obtaining a new home state license based on a privilege to practice.
1	(a) A licensed professional counselor may hold a home state license, which allows for a
2	privilege to practice in other member states, in only one member state at a time.
3	(b) If a licensed professional counselor changes primary state of residence by moving
4	between two member states:
5	(1) The licensed professional counselor shall file an application for obtaining a new home
6	state license based on a privilege to practice, pay all applicable fees, and notify the current and
7	new home state in accordance with applicable rules adopted by the commission.
8	(2) Upon receipt of an application for obtaining a new home state license by virtue of
9	privilege to practice, the new home state shall verify that the licensed professional counselor
10	meets the pertinent criteria outlined in §30-31A-4 of this code via the data system, without need
11	for primary source verification except for:
12	(A) A Federal Bureau of Investigation fingerprint based criminal background check if not
13	previously performed or updated pursuant to the applicable rules adopted by the commission in
14	accordance with Public Law 92-544;
15	(B) Other criminal background check as required by the new home state; and
16	(C) Completion of any requisite jurisprudence requirements of the new home state.
17	(3) The former home state shall convert the former home state license into a privilege to
18	practice once the new home state has activated the new home state license in accordance with
19	applicable rules adopted by the commission.
20	(4) Notwithstanding any other provision of this compact, if the licensed professional
21	counselor cannot meet the criteria in §30-31A-4 of this code, the new home state shall apply its
22	requirements for issuing a new single-state license.
23	(5) The licensed professional counselor shall pay all applicable fees to the new home state
24	in order to be issued a new home state license.

- 25 (c) If a licensed professional counselor changes primary state of residence by moving from
- 26 <u>a member state to a non-member state, or from a non-member state to a member state, the state</u>
- 27 <u>criteria shall apply for issuance of a single-state license in the new state.</u>
- 28 (d) Nothing in this compact may interfere with a licensee's ability to hold a single-state
- 29 license in multiple states; however, for the purposes of this compact, a licensee shall have only
- 30 <u>one home state license.</u>
- 31 (e) Nothing in this compact may affect the requirements established by a member state
- 32 for the issuance of a single-state license.

§30-31A-6. Active-duty military personnel or their spouses.

- 1 Active-duty military personnel, or their spouses, shall designate a home state where the
- 2 individual has a current license in good standing. The individual may retain the home state
- 3 designation during the period the service member is on active duty. After designating a home
- 4 state, the individual shall only change his or her home state through application for licensure in
- 5 the new state, or through the process described in §30-31A-5 of this code.

§30-31A-7. Compact privilege to practice telehealth.

- 1 (a) Member states shall recognize the right of a licensed professional counselor, licensed
- 2 by a home state in accordance with §30-31A-3 of this code and under the rules promulgated by
- 3 the commission, to practice professional counseling in any member state via telehealth under a
- 4 privilege to practice as provided in the compact and rules promulgated by the commission.
- 5 (b) A licensee providing professional counseling services in a remote state under the
- 6 privilege to practice shall adhere to the laws and regulations of the remote state.

§30-31A-8. Adverse actions.

- 1 (a) In addition to the other powers conferred by state law, a remote state may, in
- 2 accordance with existing state due process law:
- 3 (1) Take adverse action against a licensed professional counselor's privilege to practice
- 4 within that member state; and

5	(2) Issue subpoenas for both hearings and investigations that require the attendance and
6	testimony of witnesses as well as the production of evidence. Subpoenas issued by a licensing
7	board in a member state for the attendance and testimony of witnesses or the production of
8	evidence from another member state shall be enforced in the latter state by any court of
9	competent jurisdiction, according to the practice and procedure of that court applicable to
10	subpoenas issued in proceedings pending before it. The issuing authority shall pay any witness
11	fees, travel expenses, mileage, and other fees required by the service statutes of the state in
12	which the witnesses or evidence are located.
13	(3) Only the home state may take adverse action against a licensed professional
14	counselor's license issued by the home state.
15	(b) For purposes of taking adverse action, the home state shall give the same priority and
16	effect to the reported conduct received from a member state as it would if the conduct had
17	occurred within the home state. In so doing, the home state shall apply its own state laws to
18	determine appropriate action.
19	(c) The home state shall complete any pending investigations of a licensed professional
20	counselor who changes primary state of residence during the investigations. The home state may
21	take appropriate action or actions and shall promptly report the conclusions of the investigations
22	to the administrator of the data system. The administrator of the coordinated licensure information
23	system shall promptly notify the new home state of any adverse actions.
24	(d) A member state, if otherwise permitted by state law, may recover from the affected
25	licensed professional counselor the costs of investigations and dispositions of cases resulting
26	from any adverse action taken against that licensed professional counselor.
27	(e) A member state may take adverse action based on the factual findings of the remote
28	state, provided that the member state follows its own procedures for taking the adverse action.
29	<u>(f) Joint investigations. —</u>

30 (1) In addition to the authority granted to a member state by its respective professional 31 counseling practice act or other applicable state law, any member state may participate with other 32 member states in joint investigations of licensees. 33 (2) Member states shall share any investigative, litigation, or compliance materials in 34 furtherance or any joint or individual investigation initiated under the compact. 35 (g) If adverse action is taken by the home state against the license of a licensed 36 professional counselor, the licensed professional counselor's privilege to practice in all other 37 member states shall be deactivated until all encumbrances have been removed from the state 38 license. All home state disciplinary orders that impose adverse action against the license of a 39 licensed professional counselor shall include a statement that the licensed professional 40 counselor's privilege to practice is deactivated in all member states during the pendency of the 41 order. 42 (h) If a member state takes adverse action, it shall promptly notify the administrator of the 43 data system. The administrator of the data system shall promptly notify the home state of any adverse actions by remote states. 44 45 (i) Nothing in this compact may override a member state's decision that participation in an 46 alternative program may be used in lieu of adverse action. §30-31A-9. Establishment of counseling compact commission. 1 (a) The compact member states hereby create and establish a joint public agency known 2 as the counseling compact commission: 3 (1) The commission is an instrumentality of the compact states. 4 (2) Venue is proper and judicial proceedings by or against the commission shall be brought 5 solely and exclusively in a court of competent jurisdiction where the principal office of the 6 commission is located. The commission may waive venue and jurisdictional defenses to the 7 extent it adopts or consents to participate in alternative dispute resolution proceedings. 8 (3) Nothing in this compact may be construed to be a waiver of sovereign immunity.

- 9 (b) Membership, voting, and meetings. —
- 10 (1) Each member state shall have and be limited to one delegate selected by that member
- 11 state's licensing board.
- 12 (2) The delegate shall be either:
- 13 (A) A current member of the licensing board at the time of appointment, who is a licensed
- 14 professional counselor or public member; or
- 15 (B) An administrator of the licensing board.
- 16 (3) Any delegate may be removed or suspended from office as provided by the law of the
- 17 <u>state from which the delegate is appointed.</u>
- 18 (4) The member state licensing board shall fill any vacancy occurring on the commission
- 19 within 60 days.
- 20 (5) Each delegate shall be entitled to one vote relating to the promulgation of rules and
- 21 creation of bylaws and shall otherwise have an opportunity to participate in the business and
- 22 <u>affairs of the commission.</u>
- 23 (6) A delegate shall vote in person or by such other means as provided in the bylaws. The

24 bylaws may provide for delegates' participation in meetings by telephone or other means of

- 25 <u>communication.</u>
- 26 (7) The commission shall meet at least once during each calendar year. Additional
- 27 meetings shall be held as set forth in the bylaws.
- 28 (8) The commission shall by rule establish a term of office for delegates and may by rule
- 29 <u>establish term limits.</u>
- 30 (c) The commission may:
- 31 (1) Establish the fiscal year of the commission;
- 32 (2) Establish bylaws;
- 33 (3) Maintain its financial records in accordance with the bylaws;

- 34 (4) Meet and take such actions as are consistent with the provisions of this compact and
 35 the bylaws;
- 36 (5) Promulgate rules which shall be binding to the extent and in the manner provided for
 37 in the compact;
- 38 (6) Bring and prosecute legal proceedings or actions in the name of the commission:
- 39 *Provided*, That the standing of any state licensing board to sue or be sued under applicable law
- 40 may not be affected;
- 41 (7) Purchase and maintain insurance and bonds;
- 42 (8) Borrow, accept, or contract for services of personnel, including, but not limited to,
- 43 employees of a member state;
- 44 (9) Hire employees, elect, or appoint officers, fix compensation, define duties, grant these
- 45 individuals appropriate authority to carry out the purposes of the compact, and establish the
- 46 commission's personnel policies and programs relating to conflicts of interest, qualifications of
- 47 personnel, and other related personnel matters;
- 48 (10) Accept any and all appropriate donations and grants of money, equipment, supplies,
- 49 materials, and services, and to receive, utilize and dispose of the same: Provided, That at all times
- 50 the commission shall avoid any appearance of impropriety or conflict of interest;
- 51 (11) Lease, purchase, accept appropriate gifts or donations of, or otherwise to own, hold,
- 52 improve or use, any property, real, personal or mixed: *Provided*, That at all times the commission
- 53 <u>shall avoid any appearance of impropriety;</u>
- 54 (12) Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of
- 55 <u>any property real, personal, or mixed;</u>
- 56 (13) Establish a budget and make expenditures;
- 57 <u>(14) Borrow money;</u>

- 58 (15) Appoint committees, including standing committees composed of members, state
- 59 regulators, state legislators or their representatives, and consumer representatives, and such
- 60 <u>other interested persons as may be designated in this compact and the bylaws;</u>
- 61 (16) Provide and receive information from, and cooperate with, law-enforcement agencies;
- 62 (17) Establish and elect and executive committee; and
- 63 (18) Perform such other functions as may be necessary or appropriate to achieve the
- 64 purposes of this compact consistent with the state regulation of professional counseling licensure
- 65 and practice.
- 66 (d) The executive committee. —
- 67 (1) The executive committee may act on behalf of the commission according to the terms
- 68 of this compact.
- 69 (2) The executive committee shall be composed of up to 11 members:
- 70 (A) Seven voting members who are elected by the commission from the current
- 71 <u>membership of the commission;</u>
- 72 (B) Up to four ex-officio, nonvoting members from four recognized national professional
- 73 counselor organizations;
- 74 (C) The ex-officio members will be selected by their respective organizations.
- 75 (3) The commission may remove any member of the executive committee as provided in
- 76 bylaws.
- 77 (4) The executive committee shall meet at least annually;
- 78 (5) The executive committee may:
- 79 (A) Recommend to the entire commission changes to the rules or bylaws, changes to this
- 80 compact legislation, fees paid by compact member states such as annual dues, and any
- 81 <u>commission compact fee charged to licensees for the privilege to practice;</u>
- 82 (B) Ensure compact administration services are appropriately provided, contractual or
- 83 <u>otherwise;</u>

- 84 (C) Prepare and recommend the budget;
- 85 (D) Maintain financial records on behalf of the commission;
- 86 (E) Monitor compact compliance of member states and provide compliance reports to the
- 87 <u>commission;</u>
- 88 (F) Establish additional committees as necessary; and
- 89 (G) Other duties as provided in rules or bylaws.
- 90 (e) Meetings of the commission. —
- 91 (1) All meetings shall be open to the public, and public notice of the meetings shall be
- 92 given in the same manner as required under the rule-making provisions in §30-31A-11 of this
- 93 <u>code.</u>
- 94 (2) The commission or the executive committee or other committees of the commission
- 95 may convene in a closed, non-public meeting if the commission or executive committee or other
- 96 <u>committees of the commission must discuss:</u>
- 97 (A) Non-compliance of a member state with its obligations under the compact;
- 98 (B) The employment, compensation, discipline or other matters, practice or procedures
- 99 related to specific employees or other matters related to the commission's internal personnel
- 100 practices and procedures;
- 101 (C) Current, threatened, or reasonably anticipated litigation;
- 102 (D) Negotiation of contracts for the purchase, lease, or sale of goods, services, or real
- 103 <u>estate;</u>
- 104 (E) Accusing any person of a crime or formally censuring any person;
- 105 (F) Disclosure of trade secrets or commercial or financial information that is privileged or
- 106 <u>confidential;</u>
- 107 (G) Disclosure of information of a personal nature where disclosure would constitute a
- 108 <u>clearly unwarranted invasion of personal privacy;</u>
- 109 (H) Disclosure of investigative records compiled for law-enforcement purposes;

- 110 (I) Disclosure of information related to any investigative reports prepared by or on behalf
- 111 of or for use of the commission or other committee charged with responsibility of investigation or
- 112 determination of compliance issues pursuant to the compact; or
- 113 (J) Matters specifically exempted from disclosure by federal or member state statute.
- 114 (3) If a meeting, or portion of a meeting, is closed pursuant to this provision, the
- 115 commission's legal counsel or designee shall certify that the meeting may be closed and shall
- 116 reference each relevant exempting provision.
- 117 (4) The commission shall keep minutes that fully and clearly describe all matters discussed
- 118 in a meeting and shall provide a full and accurate summary of actions taken, and the reasons
- 119 therefore, including a description of the views expressed. All documents considered in connection
- 120 with an action shall be identified in the minutes. All minutes and documents of a closed meeting
- 121 shall remain under seal, subject to release by a majority vote of the commission or order of a court
- 122 of competent jurisdiction.
- 123 (f) Financing of the commission. —
- 124 (1) The commission shall pay, or provide for the payment of, the reasonable expenses of
- 125 its establishment, organization, and ongoing activities.
- 126 (2) The commission may accept any and all appropriate revenue sources, donations, and
- 127 grants of money, equipment, supplies, materials, and services.
- 128 (3) The commission may levy on and collect an annual assessment from each member
- 129 state or impose fees on other parties to cover the cost of the operations and activities of the
- 130 commission and its staff, which must be in a total amount sufficient to cover its annual budget as
- 131 approved by the commission each year for which revenue is not provided by other sources. This
- 132 aggregate annual assessment amount shall be allocated based upon a formula to be determined
- 133 by the commission, which shall promulgate a rule binding upon all member states.

134 (4) The commission may not incur obligations of any kind prior to securing the funds 135 adequate to meet the same; nor may the commission pledge the credit of any of the member 136 states, except by and with the authority of the member state. 137 (5) The commission shall keep accurate accounts of all receipts and disbursements. The 138 receipts and disbursements of the commission shall be subject to the audit and accounting 139 procedures established under its bylaws. However, all receipts and disbursements of funds 140 handled by the commission shall be audited yearly by a certified or licensed public accountant, 141 and the report of the audit shall be included in and become part of the annual report of the 142 commission. (g) Qualified immunity, defense, and indemnification. — 143 144 (1) The members, officers, executive director, employees, and representatives of the 145 commission shall be immune from suit and liability, either personally or in their official capacity, 146 for any claims for damage to or loss of property or personal injury or other civil liability caused by 147 or arising out of any actual or alleged act, error or omission that occurred, or that the person 148 against whom the claim is made had a reasonable basis for believing occurred within the scope 149 of commission employment, duties or responsibilities: *Provided*, That nothing in this paragraph 150 may be construed to protect any such person from suit or liability for any damage, loss, injury, or 151 liability caused by the intentional or willful or wanton misconduct of that person. 152 (2) The commission shall defend any member, officer, executive director, employee, or 153 representative of the commission in any civil action seeking to impose liability arising out of any 154 actual or alleged act, error, or omission that occurred within the scope of commission 155 employment, duties, or responsibilities, or that the person against whom the claim is made had a 156 reasonable basis for believing occurred within the scope of commission employment, duties, or 157 responsibilities: Provided, That nothing herein may be construed to prohibit that person from

158 retaining his or her own counsel: *Provided, however,* That the actual or alleged act, error, or

159 <u>omission did not result from that person's intentional or willful or wanton misconduct.</u>

160	(3) The commission shall indemnify and hold harmless any member, officer, executive
161	director, employee, or representative of the commission for the amount of any settlement or
162	judgment obtained against that person arising out of any actual or alleged act, error or omission
163	that occurred within the scope of commission employment, duties, or responsibilities, or that such
164	person had a reasonable basis for believing occurred within the scope of commission
165	employment, duties, or responsibilities: Provided, That the actual or alleged act, error, or
166	omission did not result from the intentional or willful or wanton misconduct of that person.
	<u>§30-31A-10. Data System.</u>
1	(a) The commission shall provide for the development, maintenance, operation, and
2	utilization of a coordinated database and reporting system containing licensure, adverse action,
3	and investigative information on all licensed individuals in member states.
4	(b) Notwithstanding any other provision of state law to the contrary, a member state shall
5	submit a uniform data set to the data system on all individuals to whom this compact is applicable
6	as required by the rules of the commission, including:
7	(1) Identifying information;
8	(2) Licensure data:
9	(3) Adverse actions against a license or privilege to practice;
10	(4) Non-confidential information related to alternative program participation;
11	(5) Any denial of application for licensure, and the reason or reasons for such denial;
12	(6) Current significant investigative information; and
13	(7) Other information that may facilitate the administration of this compact, as determined
14	by the rules of the commission.
15	(c) Investigative information pertaining to a licensee in any member state will only be

16 <u>available to other member states.</u>

17 (d) The commission shall promptly notify all member states of any adverse action taken 18 against a licensee or an individual applying for a license. Adverse action information pertaining to 19 a licensee in any member state will be available to any other member state. 20 (e) Member states contributing information to the data system may designate information 21 that may not be shared with the public without the express permission of the contributing state. 22 (f) Any information submitted to the data system that is subsequently required to be 23 expunged by the laws of the member state contributing the information shall be removed from the 24 data system. §30-31A-11. Rulemaking. 1 (a) The commission shall promulgate reasonable rules to achieve the purposes of the 2 compact. Notwithstanding the foregoing, if the commission exercises its rule-making authority in 3 a manner that is beyond the scope of the purposes of the compact, or the powers granted 4 hereunder, then such an action by the commission shall be invalid and have no force and effect. 5 (b) The commission shall exercise its rule-making powers pursuant to the criteria set forth 6 in this section and the rules adopted thereunder. Rules and amendments shall become binding 7 as of the date specified in each rule or amendment. 8 (c) If a majority of the legislatures of the member states rejects a rule, by enactment of a 9 statute or resolution in the same manner used to adopt the compact within four years of the date 10 of adoption of the rule, then the rule shall have no further force and effect in any member state. 11 (d) Rules or amendments to the rules shall be adopted at a regular or special meeting of 12 the commission. 13 (e) Prior to promulgation and adoption of a final rule or rules by the commission, and at 14 least 30 days in advance of the meeting at which the rule will be considered and voted upon, the 15 commission shall file a notice of proposed rulemaking: 16 (1) On the website of the commission or other publicly accessible platform; and

- 17 (2) On the website of each member state professional counseling licensing board or other
- 18 publicly accessible platform or the publication in which each state would otherwise publish
- 19 proposed rules.
- 20 (f) The notice of proposed rulemaking shall include:
- 21 (1) The proposed time, date, and location of the meeting in which the rule will be
- 22 <u>considered and voted upon;</u>
- 23 (2) The text of the proposed rule or amendment and the reason for the proposed rule;
- 24 (3) A request for comments on the proposed rule from any interested person; and
- 25 (4) The manner in which interested persons may submit notice to the commission of their
- 26 intention to attend the public hearing and any written comments.
- 27 (g) Prior to adoption of a proposed rule, the commission shall allow persons to submit
- 28 written data, facts, opinions, and arguments, which shall be made available to the public.
- 29 (h) The commission shall grant an opportunity for a public hearing before it adopts a rule
- 30 <u>or amendment if a hearing is requested by:</u>
- 31 (1) At least 25 persons;
- 32 (2) A state or federal governmental subdivision or agency; or
- 33 (3) An association or organization having at least 25 members.
- 34 (I) If a hearing is held on the proposed rule or amendment, the commission shall publish
- 35 the place, time, and date of the scheduled public hearing. If the hearing is held via electronic
- 36 means, the commission shall publish the mechanism for access to the electronic hearing.
- 37 (1) All persons wishing to be heard at the hearing shall notify the executive director of the
- 38 commission or other designated member in writing of their desire to appear and testify at the
- 39 hearing not less than five business days before the scheduled date of the hearing.
- 40 (2) Hearings shall be conducted in a manner providing each person who wishes to
- 41 comment a fair and reasonable opportunity to comment orally or in writing.
- 42 (3) All hearings will be recorded. A copy of the recording will be available on request.

43	(4) Nothing in this section may be construed as requiring a separate hearing on each rule.
44	Rules may be grouped for the convenience of the commission at hearings required by this section.
45	(j) Following the scheduled hearing date, or by the close of business on the scheduled
46	hearing date if the hearing was not held, the commission shall consider all written and oral
47	comments received.
48	(k) If no written notice of intent to attend the public hearing by interested parties is received,
49	the commission may proceed with promulgation of the proposed rules without a public hearing.
50	(I) The commission shall, by majority vote of all members, take final action on the proposed
51	rule and shall determine the effective date of the rule, if any, based on the rule-making record and
52	the full text of the rule.
53	(m) Upon determination that an emergency exists, the commission may consider and
54	adopt an emergency rule without prior notice, opportunity for comment, or hearing, provided that
55	the usual rule-making procedures provided in the compact and in this section shall be retroactively
56	applied to the rule as soon as reasonably possible, in no event later than 90 days after the
57	effective date of the rule. For the purposes of this provision, an emergency rule is one that must
58	be adopted immediately in order to:
59	(1) Meet an imminent threat to public health, safety, or welfare;
60	(2) Prevent a loss of commission or member state funds;
61	(3) Meet a deadline for the promulgation of an administrative rule that is established by
62	federal law or rule; or
63	(4) Protect public health and safety.
64	(n) The commission or an authorized committee of the commission may direct revisions
65	to a previously adopted rule or amendment for purposes of correcting typographical errors, errors
66	in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be
67	posted on the website of the commission. The revision shall be subject to challenge by any person
68	for a period of 30 days after posting. The revision may be challenged only on grounds that the

- 69 revision results in a material change to a rule. A challenge shall be made in writing and delivered 70 to the chair of the commission prior to the end of the notice period. If no challenge is made, the 71 revision will take effect without further action. If the revision is challenged, the revision may not 72 take effect without the approval of the commission. §30-31A-12. Oversight, dispute resolution, and enforcement. 1 (a) Oversight. — 2 (1) The executive, legislative, and judicial branches of state government in each member 3 state shall enforce this compact and take all actions necessary and appropriate to effectuate the 4 compact's purposes and intent. The provisions of this compact and the rules promulgated 5 hereunder shall have standing as statutory law. (2) All courts shall take judicial notice of the compact and the rules in any judicial or 6 7 administrative proceeding in a member state pertaining to the subject matter of this compact 8 which may affect the powers, responsibilities, or actions of the commission. 9 (3) The commission may receive service of process in any such proceeding and shall have 10 standing to intervene in such a proceeding for all purposes. Failure to provide service of process 11 to the commission shall render a judgment or order void as to the commission, this compact, or 12 promulgated rules. 13 (b) Default, technical assistance, and termination. — 14 (1) If the commission determines that a member state has defaulted in the performance 15 of its obligations or responsibilities under this compact or the promulgated rules, the commission 16 shall: 17 (A) Provide written notice to the defaulting state and other member states of the nature of the default, the proposed means of curing the default or any other action to be taken by the 18 19 commission; and
 - 20 (B) Provide remedial training and specific technical assistance regarding the default.

21	(c) If a state in default fails to cure the default, the defaulting state may be terminated from
22	the compact upon an affirmative vote of a majority of the member states, and all rights, privileges
23	and benefits conferred by this compact may be terminated on the effective date of termination. A
24	cure of the default does not relieve the offending state of obligations or liabilities incurred during
25	the period of default.
26	(d) Termination of membership in the compact shall be imposed only after all other means
27	of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be
28	given by the commission to the governor, the majority and minority leaders of the defaulting state's
29	legislature, and each of the member states.
30	(e) A state that has been terminated is responsible for all assessments, obligations, and
31	liabilities incurred through the effective date of termination, including obligations that extend
32	beyond the effective date of termination.
33	(f) The commission may not bear any costs related to a state that is found to be in default
34	or that has been terminated from the compact, unless agreed upon in writing between the
01	<u></u>
35	commission and the defaulting state.
35	commission and the defaulting state.
35 36	<u>commission and the defaulting state.</u> (g) The defaulting state may appeal the action of the commission by petitioning the U.S.
35 36 37	<u>commission and the defaulting state.</u> (g) The defaulting state may appeal the action of the commission by petitioning the U.S. District Court for the District of Columbia or the federal district where the commission has its
35 36 37 38	commission and the defaulting state. (g) The defaulting state may appeal the action of the commission by petitioning the U.S. District Court for the District of Columbia or the federal district where the commission has its principal offices. The prevailing member shall be awarded all costs of such litigation, including
35 36 37 38 39	 <u>commission and the defaulting state.</u> (g) The defaulting state may appeal the action of the commission by petitioning the U.S. District Court for the District of Columbia or the federal district where the commission has its principal offices. The prevailing member shall be awarded all costs of such litigation, including reasonable attorney's fees.
35 36 37 38 39 40	commission and the defaulting state. (g) The defaulting state may appeal the action of the commission by petitioning the U.S. District Court for the District of Columbia or the federal district where the commission has its principal offices. The prevailing member shall be awarded all costs of such litigation, including reasonable attorney's fees. (h) Dispute resolution. —
35 36 37 38 39 40 41	commission and the defaulting state. (g) The defaulting state may appeal the action of the commission by petitioning the U.S. District Court for the District of Columbia or the federal district where the commission has its principal offices. The prevailing member shall be awarded all costs of such litigation, including reasonable attorney's fees. (h) Dispute resolution. — (1) Upon request by a member state, the commission shall attempt to resolve disputes
35 36 37 38 39 40 41 42	commission and the defaulting state. (g) The defaulting state may appeal the action of the commission by petitioning the U.S. District Court for the District of Columbia or the federal district where the commission has its principal offices. The prevailing member shall be awarded all costs of such litigation, including reasonable attorney's fees. (h) Dispute resolution. — (1) Upon request by a member state, the commission shall attempt to resolve disputes related to the compact that arise among member states and between member and non-member
35 36 37 38 39 40 41 42 43	commission and the defaulting state. (g) The defaulting state may appeal the action of the commission by petitioning the U.S. District Court for the District of Columbia or the federal district where the commission has its principal offices. The prevailing member shall be awarded all costs of such litigation, including reasonable attorney's fees. (h) Dispute resolution. — (1) Upon request by a member state, the commission shall attempt to resolve disputes related to the compact that arise among member states and between member and non-member states.

- 47 (1) The commission, in the reasonable exercise of its discretion, shall enforce the
 48 provisions and rules of this compact.
- 49 (2) By majority vote, the commission may initiate legal action in the United States District
- 50 Court for the District of Columbia or the federal district where the commission has its principal
- 51 offices against a member state in default to enforce compliance with the provisions of the compact
- 52 and its promulgated rules and bylaws. The relief sought may include both injunctive relief and
- 53 damages. In the event judicial enforcement is necessary, the prevailing member shall be awarded
- 54 all costs of such litigation, including reasonable attorney's fees.
- 55 (3) The remedies herein shall not be the exclusive remedies of the commission. The
- 56 <u>commission may pursue any other remedies available under federal or state law.</u>

§30-31A-13. Date of implementation of the counseling compact commission and associated rules, withdrawal, and amendment.

- 1 (a) The compact shall come into effect on the date on which the compact statute is enacted
- 2 into law in the 10th member state. The provisions, which become effective at that time, shall be
- 3 limited to the powers granted to the commission relating to assembly and the promulgation of
- 4 rules. Thereafter, the commission shall meet and exercise rule-making powers necessary to the
- 5 implementation and administration of the compact.
- 6 (b) Any state that joins the compact subsequent to the commission's initial adoption of the
- 7 rules shall be subject to the rules as they exist on the date on which the compact becomes law in
- 8 that state. Any rule that has been previously adopted by the commission shall be the full force
- 9 and effect of law on the day the compact become law in that state.
- 10 (c) Any member state may withdraw from this compact by enacting a statute repealing the
- 11 <u>same.</u>
- 12 (1) A member state's withdrawal may not take effect until six months after enactment of
- 13 the repealing statute.

14	(2) Withdrawal shall not affect the continuing requirement of the withdrawing state's
15	professional counseling licensing board to comply with the investigative and adverse action
16	reporting requirements of this act prior to the effective date of withdrawal.
17	(d) Nothing contained in this compact may be construed to invalidate or prevent any
18	professional counseling licensure agreement or other cooperative arrangement between a
19	member state and a non-member state that does not conflict with the provisions of this compact.
20	(e) This compact may be amended by the member states. No amendment to this compact
21	may become effective and binding upon any member state until it is enacted into the laws of all
22	member states.
	§30-31A-14. Construction and severability.
1	This compact shall be liberally construed so as to effectuate the purposes thereof. The
2	provisions of this compact shall be severable and if any phrase, clause, sentence, or provision of
3	this compact is declared to be contrary to the constitution of any member state or of the United
4	States or the applicability thereof to any government, agency, person, or circumstance is held
5	invalid, the validity of the remainder of this compact and the applicability thereof to any
6	government, agency, person, or circumstance shall not be affected thereby. If this compact shall
7	be held contrary to the constitution of any member state, the compact shall remain in full force
8	and effect as to the remaining member states and in full force and effect as to the member state
9	affected as to all severable matters.
	§30-31A-15. Binding effect of compact and other laws.
1	(a) A licensee providing professional counseling services in a remote state under the
2	privilege to practice shall adhere to the laws and regulations, including scope of practice, of the
3	remote state.
4	(b) Nothing herein prevents the enforcement of any other law of a member state that is

5 not inconsistent with the compact.

6 (c) Any laws in a member state in conflict with the compact are superseded to the extent 7 of the conflict: Provided, That any rule or bylaw promulgated by the Counseling Compact 8 Commission shall not alter, amend, abolish, or contravene, the scope of practice or standard of 9 care in the state of West Virginia for licensed professional counselors. (d) Any lawful actions of the commission, including all rules and bylaws properly 10 11 promulgated by the commission, are binding upon member states. 12 (e) All permissible agreements between the commission and the member states are 13 binding in accordance with their terms. 14 (f) If any provision of the compact exceeds the constitutional limits imposed on the legislature of any member state, the provision shall be ineffective to the extent of the conflict with 15 16 the constitutional provision in question in that member state.